



Electromagnetics:  
Microwave Engineering

# The Scattering Matrix



# Lecture Outline

- The Scattering Matrix
- Translation of Terminal Planes
- Scattering Matrix Example

# The Scattering Matrix

# Scattering Matrices

The scattering matrix  $[S]$  relates the voltage waves incident on the ports to those reflected from the ports.

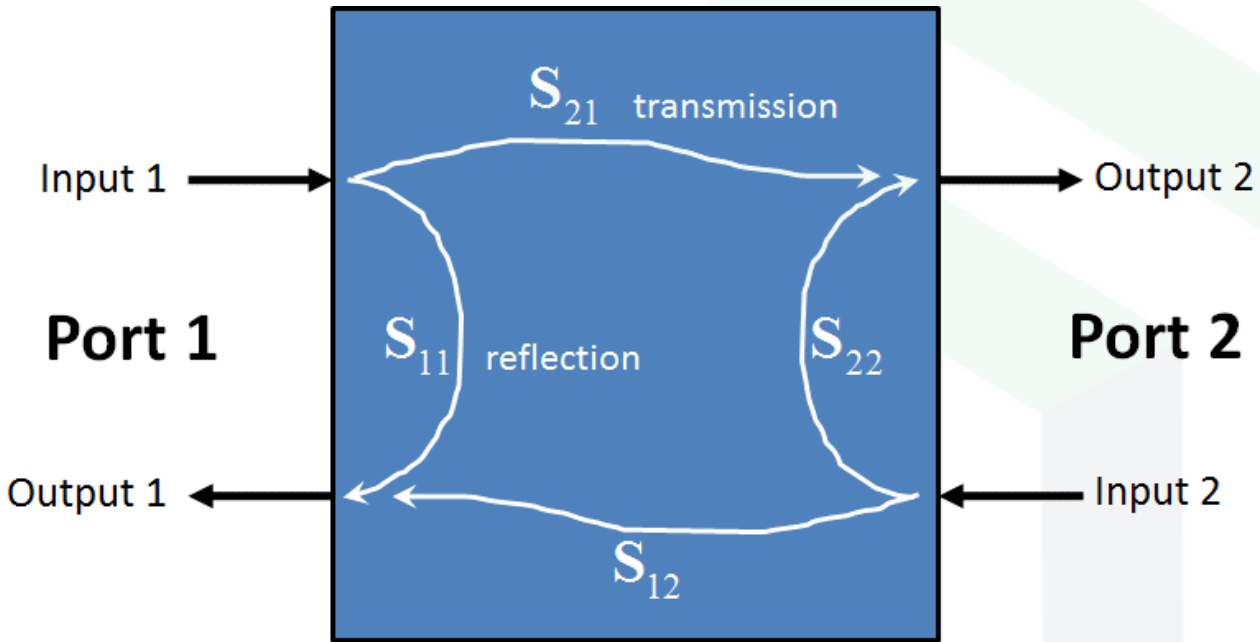
$$\begin{bmatrix} V_1^- \\ V_2^- \\ \vdots \\ V_N^- \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} & \cdots & S_{1N} \\ S_{21} & S_{22} & \cdots & S_{2N} \\ \vdots & \vdots & \ddots & \vdots \\ S_{N1} & S_{N2} & \cdots & S_{NN} \end{bmatrix} \begin{bmatrix} V_1^+ \\ V_2^+ \\ \vdots \\ V_N^+ \end{bmatrix}$$

$$[V^-] = [S][V^+]$$

$$S_{ij} = \left. \frac{V_i^-}{V_j^+} \right|_{\text{No other applied voltages}}$$

$S_{ij}$  is found by driving port  $j$  with incident wave of voltage  $V_j^+$  and measuring the reflected wave amplitude  $V_i^-$  coming out of port  $i$ . All other ports should be terminated with matched loads to avoid reflections.

# Interpretation of a Scattering Matrix for a Two-Port Network



- $S_{11} \equiv$  forward reflection (e.g.  $r$  and  $\Gamma$ )
- $S_{12} \equiv$  backward transmission
- $S_{21} \equiv$  forward transmission (e.g.  $t$ )
- $S_{22} \equiv$  backward reflection

$$\begin{bmatrix} V_1^- \\ V_2^- \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} \begin{bmatrix} V_1^+ \\ V_2^+ \end{bmatrix}$$

# Reciprocal and Lossless Networks

## Reciprocal

In reciprocal networks,  $[S]$  is symmetric.

$$[S] = [S]^T$$

## Lossless

In lossless networks,  $[S]$  is unitary.

$$[S] = [S]^*$$

$$[S]^* = ([S]^T)^{-1}$$

$$\rightarrow \underbrace{|S_{11}|^2 + |S_{21}|^2}_{= 1}$$

For 2 port networks

# Relation between $[Z]$ and $[S]$

We must assume that all ports have the same characteristic impedance  $Z_0$   
We start with the definition of the  $[Z]$  matrix.

$$[V] = [Z][I]$$

We then expand  $[V]$  and  $[I]$  in terms of forward and backward waves.

$$[V] = [V^+] + [V^-] \quad [I] = [I^+] + [I^-]$$

$$[Z]([I^+] + [I^-]) = [V^+] + [V^-]$$

# Relation between $[Z]$ and $[S]$

$$[Z]([I^+] + [I^-]) = [V^+] + [V^-]$$

$$[Z] \left( \frac{[V^+]}{Z_0} - \frac{[V^-]}{Z_0} \right) = [V^+] + [V^-]$$

$$[1] = \begin{bmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & & \vdots \\ 0 & & \ddots & \vdots \\ 0 & \dots & \dots & 1 \end{bmatrix}$$

$$[Z]([V^+] + [V^-]) = Z_0[V^+] + Z_0[V^-]$$

$$[Z][V^-] + Z_0[V^-] = [Z][V^+] + Z_0[V^+]$$

$$([Z^-] + Z_0[1])[V^-] = ([Z^-] + Z_0[1])[V^+]$$

$$[V^-] = \underbrace{([Z^-] + Z_0[1])^{-1}([Z^-] + Z_0[1])}_{[S]} [V^+]$$

$[S]$



# Relation between $[Z]$ and $[S]$

$$[S] = ([Z^-] + Z_0[1])^{-1}([Z^-] + Z_0[1])$$

$$[Z] = Z_0([1] - [S])^{-1}([1] + [S])$$

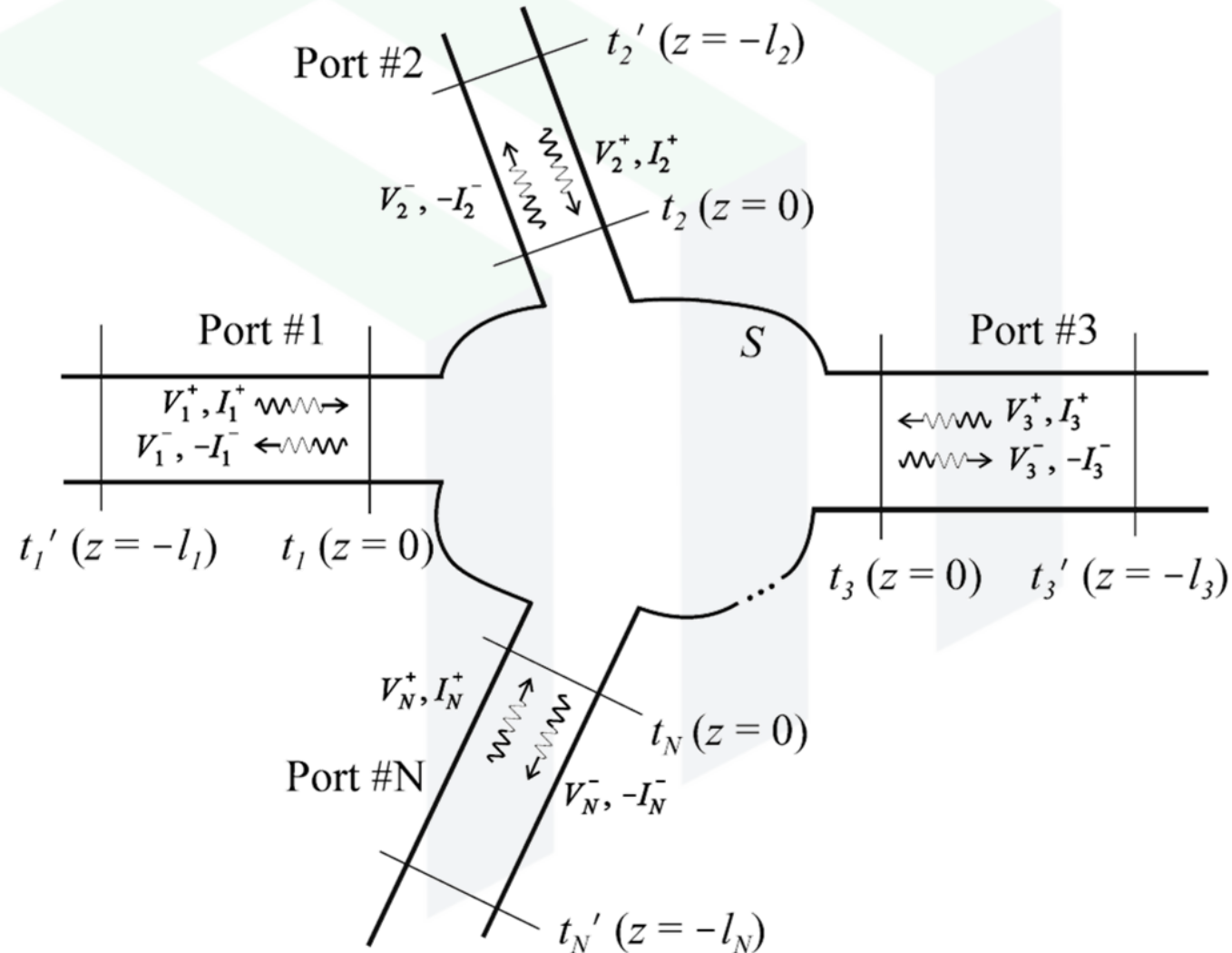


# Translation of Terminal Planes



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The voltages and currents at different terminal planes differ only by phase (assuming lossless lines)



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$$\begin{aligned}
 V_1'^+ &= e^{-j\theta_1} V_1^+ \\
 V_2'^+ &= e^{-j\theta_2} V_2^+ \\
 &\vdots \\
 V_N'^+ &= e^{-j\theta_N} V_N^+
 \end{aligned}
 \rightarrow
 \begin{bmatrix} V_1'^+ \\ V_2'^+ \\ \vdots \\ V_N'^+ \end{bmatrix}
 =
 \begin{bmatrix} e^{-j\theta_1} & & & \\ & e^{-j\theta_2} & & \\ & & \ddots & \\ & & & e^{-j\theta_N} \end{bmatrix}
 \begin{bmatrix} V_1^+ \\ V_2^+ \\ \vdots \\ V_N^+ \end{bmatrix}$$

$$\begin{aligned}
 V_1'^- &= e^{-j\theta_1} V_1^- \\
 V_2'^- &= e^{-j\theta_2} V_2^- \\
 &\vdots \\
 V_N'^- &= e^{-j\theta_N} V_N^-
 \end{aligned}
 \rightarrow
 \begin{bmatrix} V_1'^- \\ V_2'^- \\ \vdots \\ V_N'^- \end{bmatrix}
 =
 \begin{bmatrix} e^{-j\theta_1} & & & \\ & e^{-j\theta_2} & & \\ & & \ddots & \\ & & & e^{-j\theta_N} \end{bmatrix}
 \begin{bmatrix} V_1^- \\ V_2^- \\ \vdots \\ V_N^- \end{bmatrix}$$

$$\theta_i = \beta_i l_i$$

$V_i^\pm \equiv$  voltage at exactly Port  $i$

$V_i'^\pm \equiv$  voltage at distance  $l_i$  away from Port  $i$

# Scattering Matrix of a Length of Lossless Transmission Line

$$\begin{bmatrix} V_1^- \\ V_2^- \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} V_1^+ \\ V_2^+ \end{bmatrix}$$

$S_{11}$  - zero forward reflection

$S_{12}$  - 100% backward transmission

$S_{21}$  - 100% forward transmission

$S_{22}$  - zero backward reflection

When the transmission line has length  $l$ , the scattering matrix is

$$\begin{bmatrix} V_1^- \\ V_2^- \end{bmatrix} = \begin{bmatrix} 0 & e^{-j\theta} \\ e^{-j\theta} & 0 \end{bmatrix} \begin{bmatrix} V_1^+ \\ V_2^+ \end{bmatrix} \quad \theta = \beta l$$

$S_{11}$  - zero reflection

$S_{12}$  - accumulates phase

$S_{21}$  - accumulates phase

$S_{22}$  - zero reflection

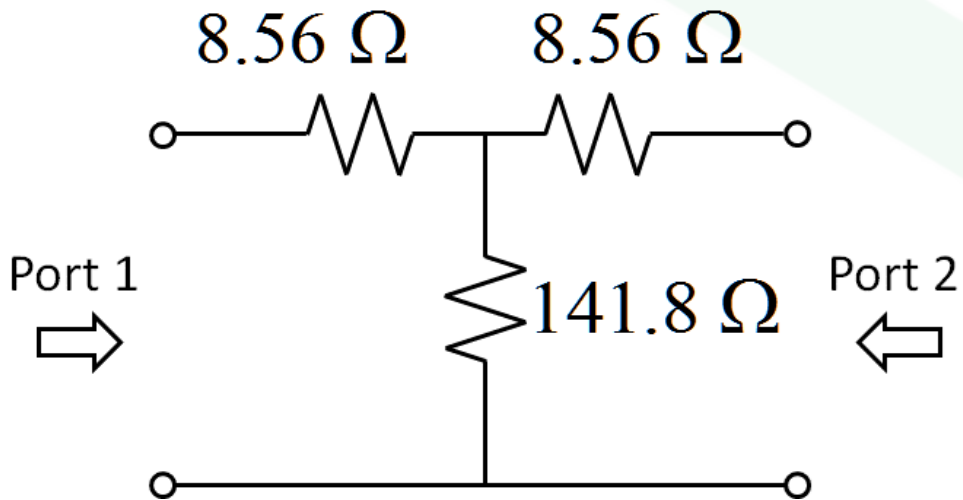
We can use this to model large circuits by separating circuit elements with lengths of transmission line.

# Scattering Matrix Example



# Scattering Matrix Example

Given the characteristic impedance of the transmission line is  $50 \Omega$ , find the scattering matrix  $[S]$ . Assume size  $\ll$  wavelength



$$S_{11} = \left. \frac{V_1^-}{V_1^+} \right|_{V_2^+ = 0}$$

We can write  $S_{11}$  in terms of the reflection coefficient. We match impedance at Port 2 to isolate  $S_{11}$  to the reflection at Port 1

$$S_{11} = \Gamma^{(1)} \Big|_{V_2^+ = 0} = \left. \frac{Z_{in}^{(1)} - Z_0}{Z_{in}^{(1)} + Z_0} \right|_{Z_0 \text{ on Port 2}}$$

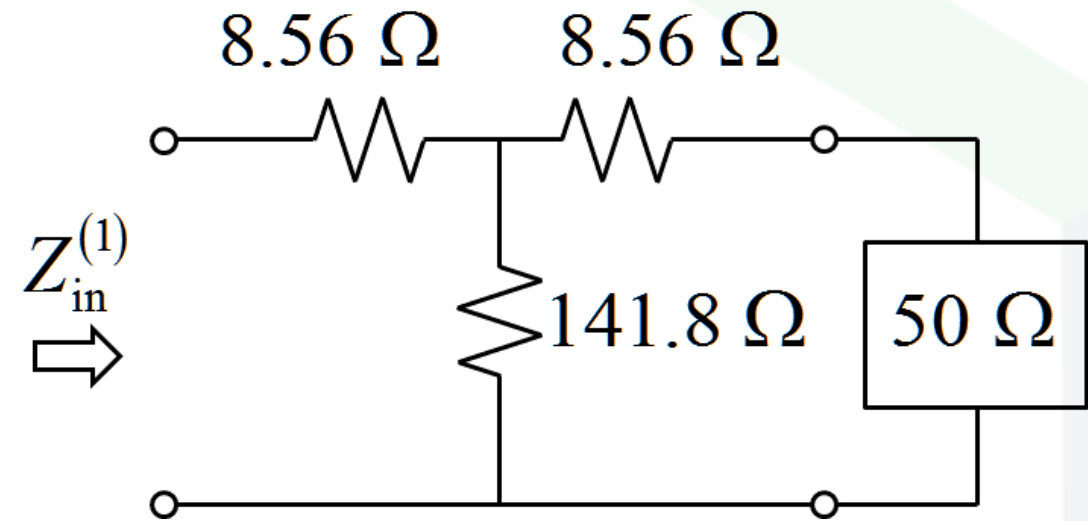
# Scattering Matrix Example

To determine  $Z_{in}$ , we write our circuit as

$$Z_{in}^{(1)} = 8.56 + 141.8 || (8.56 + 50) = 50$$

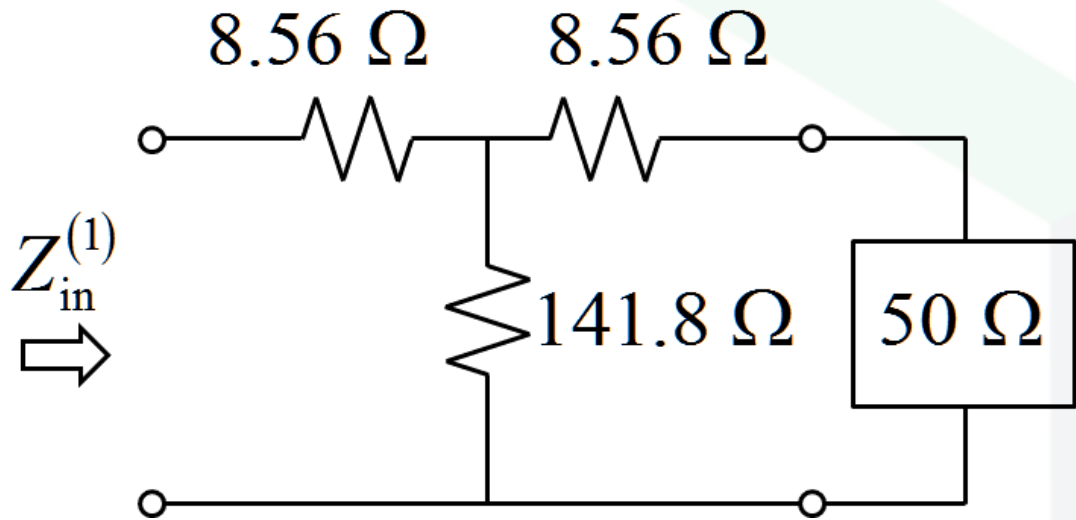
Therefore, we obtain

$$S_{11} = \left. \frac{Z_{in}^{(1)} - Z_0}{Z_{in}^{(1)} + Z_0} \right|_{Z_0 \text{ on Port 2}} = \frac{50 - 50}{50 + 50} = \boxed{0}$$





# Scattering Matrix Example



$$S_{21} = \left. \frac{V_2^-}{V_1^+} \right|_{V_2^+ = 0}$$

In order to isolate  $S_{21}$  to the transmission coefficient of just the network, we match the impedance at Port 2. This reduces to the circuit analyzed for  $S_{11}$

# Scattering Matrix Example

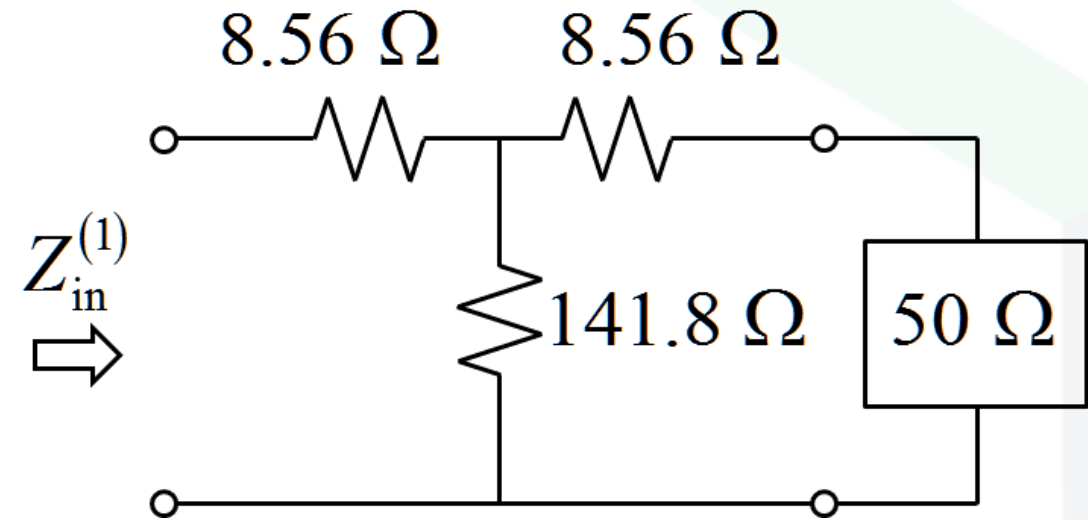
Applying  $V_1^+$  to Port 1, the voltage across the  $141.8 \Omega$  is calculated by voltage division.

$$R' = 141.8 \parallel (8.56 + 50) = 41.44$$

$$V' = V_1^+ \frac{R'}{8.56 + R'} = 0.8288V_1^+$$

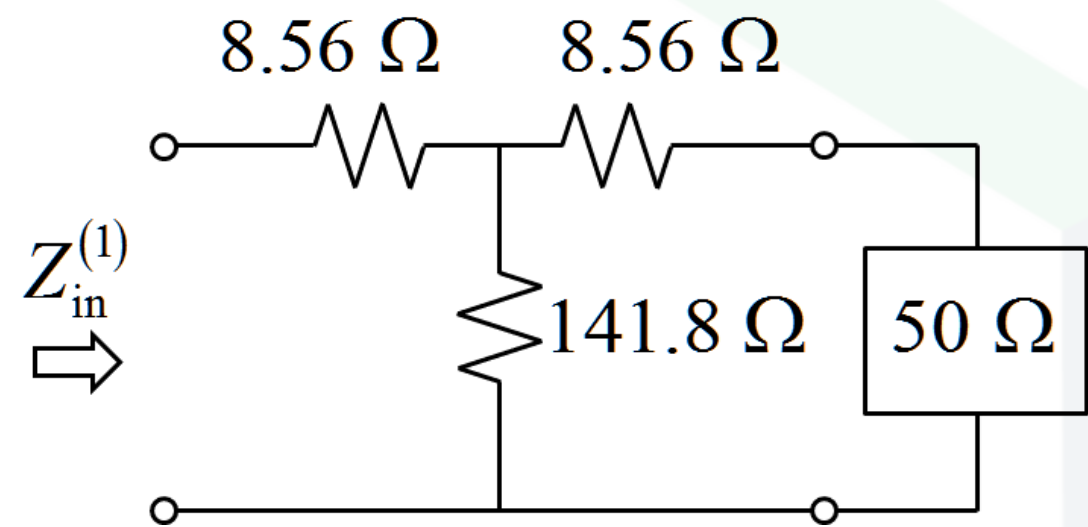
The voltage across the load is calculated by applying voltage division again.

$$V_2^- = V' \frac{50}{8.56 + 50} = 0.7077V_1^+$$



# Scattering Matrix Example

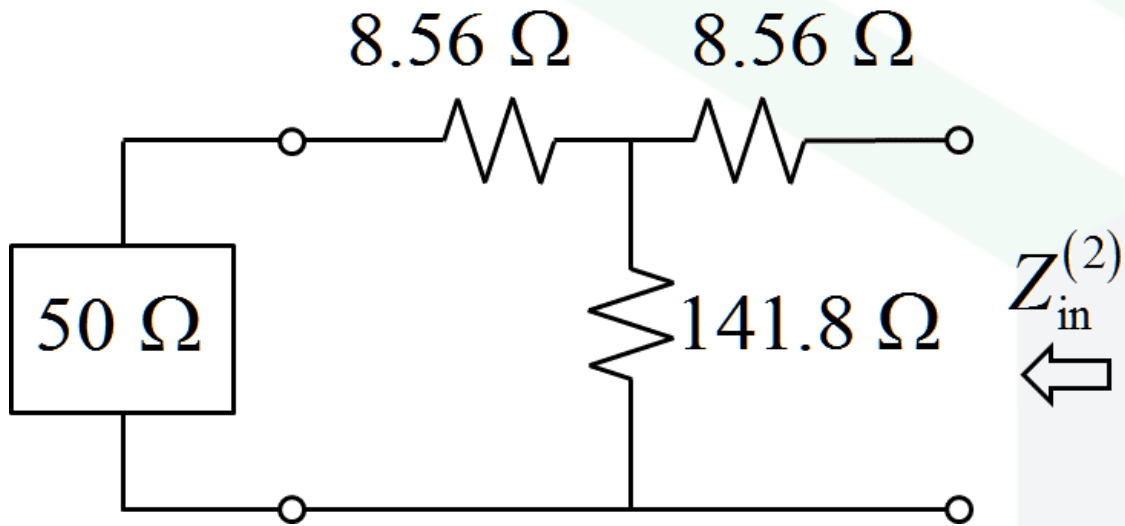
The scattering parameter is then



$$S_{21} = \left. \frac{V_2^-}{V_1^+} \right|_{V_2^+ = 0} = \frac{0.7077V_1^+}{V_1^+} = \boxed{0.7077}$$

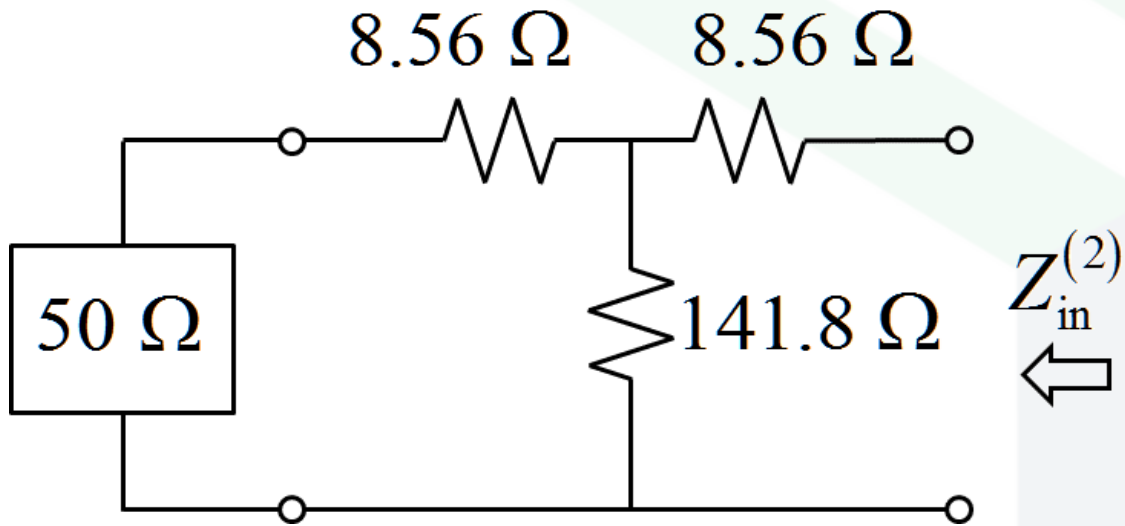
# Scattering Matrix Example

$$S_{12} = \left. \frac{V_1^-}{V_2^+} \right|_{V_1^+ = 0}$$



In order to isolate  $S_{12}$  to the transmission coefficient of just the network, we match the impedance at Port 1.

# Scattering Matrix Example



Applying  $V_2^+$  to Port 2, the voltage across the 141.8 Ω is calculated by voltage division.

$$R' = 141.8 \parallel (8.56 + 50) = 41.44$$

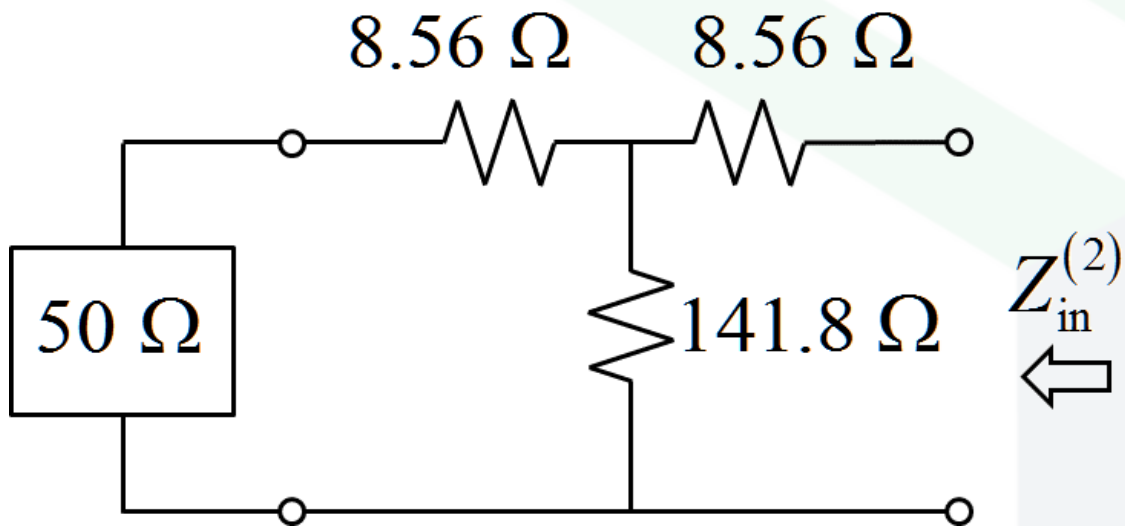
$$V' = V_2^+ \frac{R'}{8.56 + R'} = 0.8288V_2^+$$

The voltage across the load is calculated by applying voltage division again.

$$V_1^- = V' \frac{50}{8.56 + 50} = 0.7077V_2^+$$

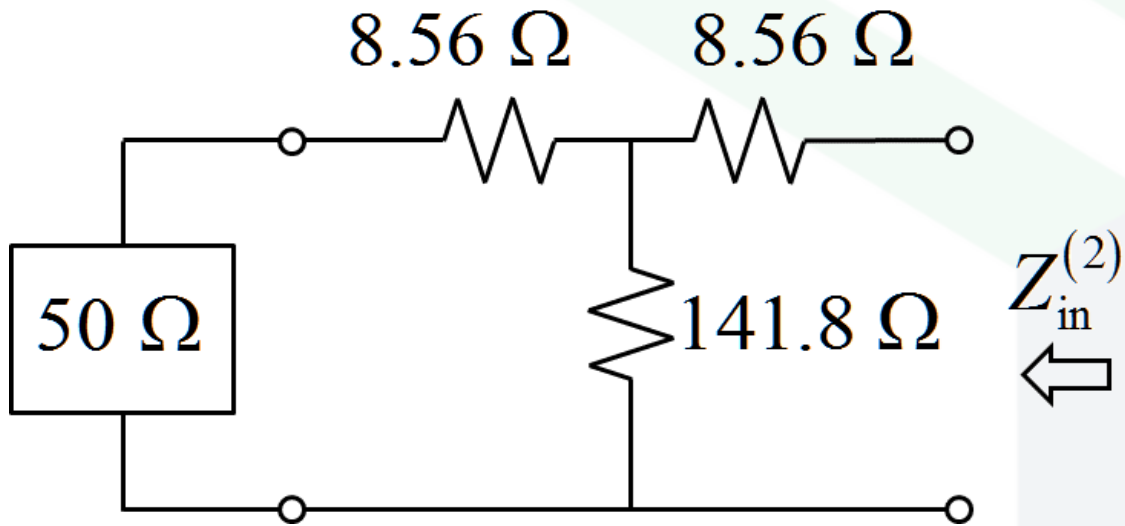
# Scattering Matrix Example

The scattering parameter is then



$$S_{12} = \left. \frac{V_1^-}{V_2^+} \right|_{V_1^+ = 0} = \frac{0.7077V_2^+}{V_2^+} = \boxed{0.7077}$$

# Scattering Matrix Example

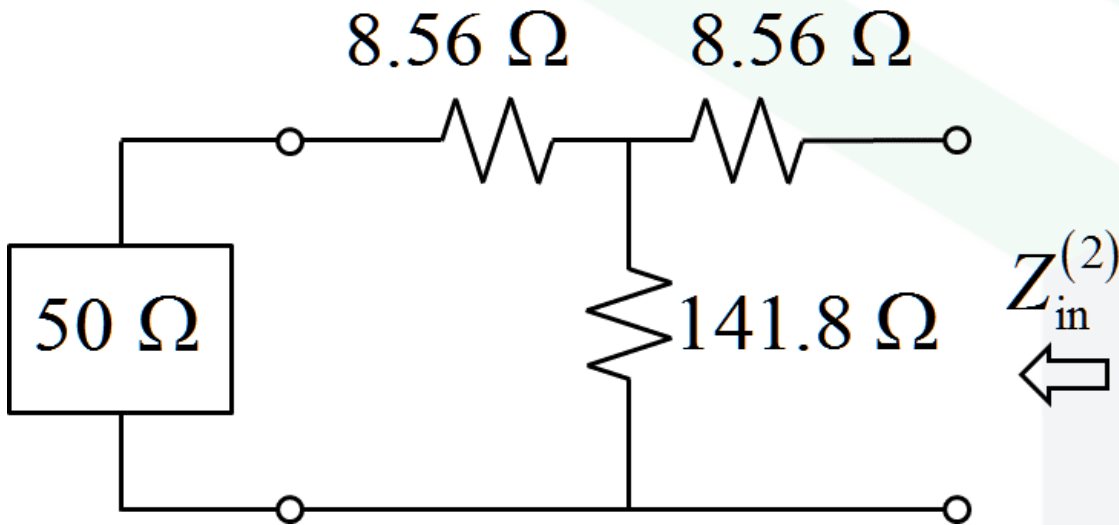


$$S_{22} = \Gamma^{(2)} \Big|_{V_1^+ = 0}$$

We can write this in terms of the backward reflection coefficient. In order to isolate  $S_{22}$  to the reflection at just Port 2, we match the impedance at Port 1.

$$S_{22} = \Gamma^{(2)} \Big|_{V_1^+ = 0} = \frac{Z_{in}^{(2)} - Z_0}{Z_{in}^{(2)} + Z_0} \Big|_{Z_0 \text{ on Port 1}}$$

# Scattering Matrix Example



$$Z_{in}^{(2)} = 8.56 + 141.8 || (8.56 + 50) = 50$$

Therefore, we obtain

$$S_{22} = \left. \frac{Z_{in}^{(1)} - Z_0}{Z_{in}^{(1)} + Z_0} \right|_{Z_0 \text{ on Port 1}} = \frac{50 - 50}{50 + 50} = \boxed{0}$$



# Scattering Matrix Example

What does this network actually do?

Looking at the  $[S]$  parameters, we first see that  $S_{11} = S_{22} = 0$ .

We also see that  $S_{12} = S_{21} = 0.7077$ .

$$|S_{12}|^2 = |S_{21}|^2 = |0.7077|^2 = 0.5$$

The network transmits half the power. The rest is dissipated by the network.

We call this a 3dB attenuator.

Reciprocal? Yes.

Lossless? No.

$$[S] = \begin{bmatrix} 0 & 0.7077 \\ 0.7077 & 0 \end{bmatrix}$$