

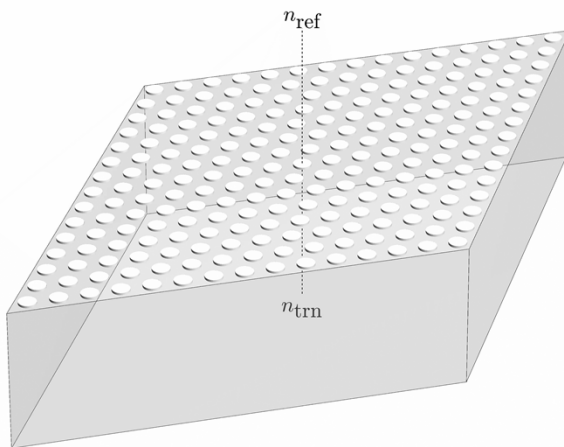


Advanced Electromagnetics:  
21<sup>st</sup> Century Electromagnetics

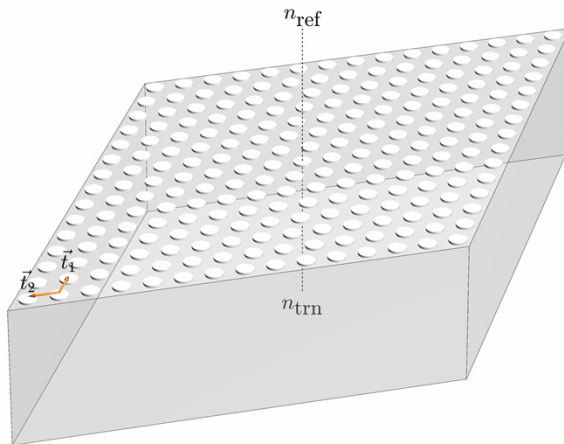
# Diffraction from Oblique Gratings



## Oblique Grating



## Direct Lattice Vectors $\vec{t}_1$ and $\vec{t}_2$

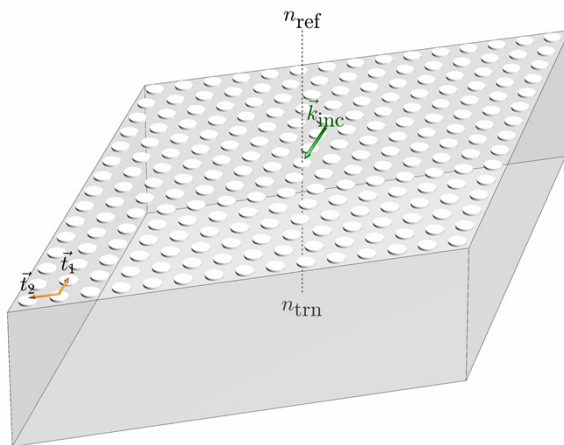


For a hexagonal grating, the direct lattice vectors are

$$\vec{t}_1 = \frac{a}{2} \hat{a}_x - \frac{a\sqrt{3}}{2} \hat{a}_y$$

$$\vec{t}_2 = \frac{a}{2} \hat{a}_x + \frac{a\sqrt{3}}{2} \hat{a}_y$$

## Incident Wave

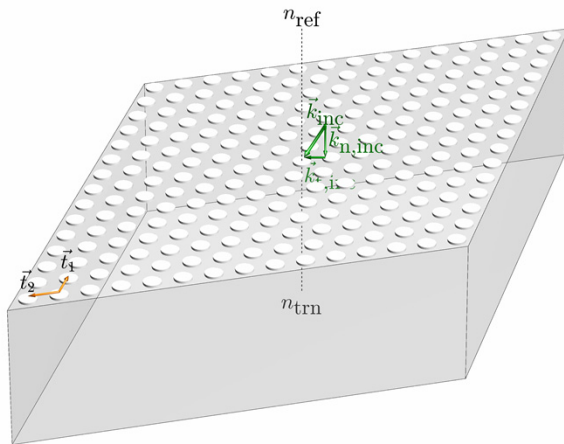


Let there be an incident wave with wave vector  $\vec{k}_{inc}$ .

$$\vec{k}_{inc} = k_0 n_{ref} \begin{pmatrix} \sin \theta \cos \phi \hat{a}_x \\ + \sin \theta \sin \phi \hat{a}_y \\ + \cos \theta \hat{a}_z \end{pmatrix}$$

Wave polarization does not impact the directions of the diffraction orders.

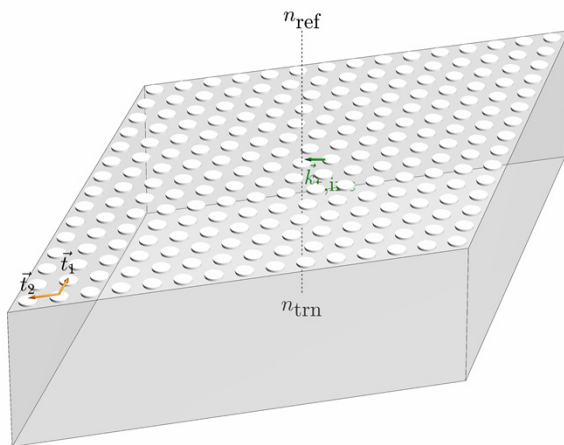
## Tangential & Normal Components of $\vec{k}_{\text{inc}}$



The incident wave vector can be decomposed into tangential  $\vec{k}_{t,\text{inc}}$  and normal  $\vec{k}_{n,\text{inc}}$  components.

$$\vec{k}_{\text{inc}} = \vec{k}_{t,\text{inc}} + \vec{k}_{n,\text{inc}}$$

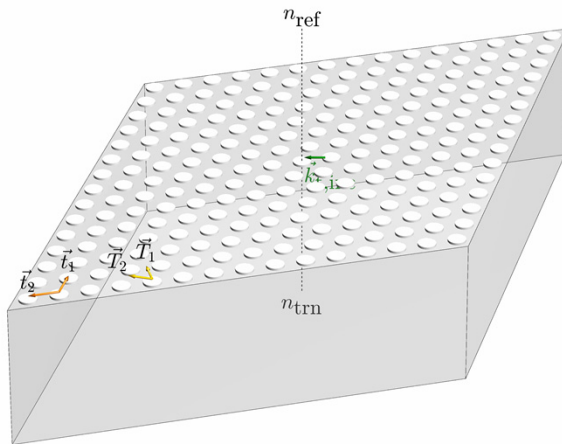
## Tangential Component $\vec{k}_{t,\text{inc}}$



It is only the tangential component of the incident wave vector that determines the directions of the diffraction orders.

$$\vec{k}_{\text{inc}} = \vec{k}_{t,\text{inc}} + \vec{k}_{n,\text{inc}}$$

## Reciprocal Lattice Vectors $\vec{T}_1$ and $\vec{T}_2$

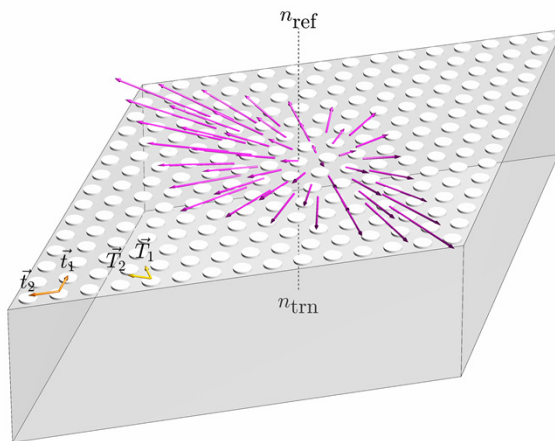


The reciprocal lattice vectors  $\vec{T}_1$  and  $\vec{T}_2$  are calculated from the direct lattice vectors  $\vec{t}_1$  and  $\vec{t}_2$ .

$$\vec{T}_1 = \frac{2\pi}{t_{1,x}t_{2,y} - t_{2,x}t_{1,y}} \begin{bmatrix} t_{2,y} \\ -t_{2,x} \end{bmatrix}$$

$$\vec{T}_2 = \frac{2\pi}{t_{1,x}t_{2,y} - t_{2,x}t_{1,y}} \begin{bmatrix} -t_{1,y} \\ t_{1,x} \end{bmatrix}$$

## Tangential Components of Diffraction Orders



The tangential components are the same for both the reflected and transmitted diffraction orders. They are expanded about  $\vec{k}_{t,inc}$  in integer multiples of  $\vec{T}_1$  and  $\vec{T}_2$ .

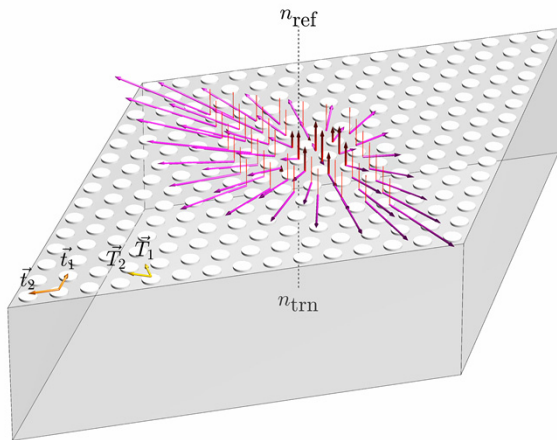
$$\vec{k}_t(p, q) = \vec{k}_{t,inc} - p\vec{T}_1 - q\vec{T}_2$$

Diffraction Order  $(p, q)$

$$p = -\infty, \dots, -3, -2, -1, 0, +1, +2, +3, \dots, +\infty$$

$$q = -\infty, \dots, -3, -2, -1, 0, +1, +2, +3, \dots, +\infty$$

## Normal Components of Reflected Waves



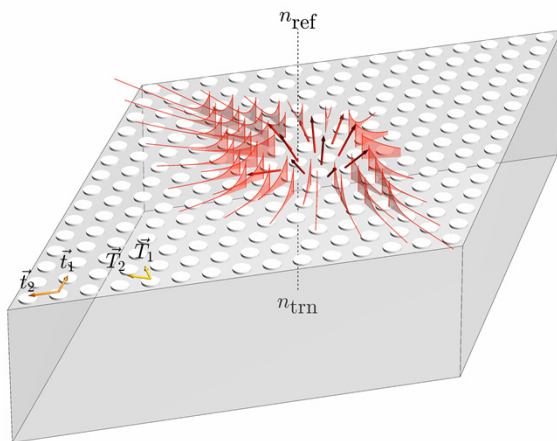
The normal components of the diffraction orders are calculated from the dispersion relation. For the reflected waves, this is

$$\vec{k}_{n,\text{ref}}(p,q) = -\hat{a}_n \sqrt{(k_0 n_{\text{ref}})^2 - |\vec{k}_t(p,q)|^2}$$

The square-root can become imaginary

Imaginary  $\vec{k}_{n,\text{ref}}$  indicates diffraction orders that are cutoff and evanescent.

## Overall Reflected Diffraction Orders



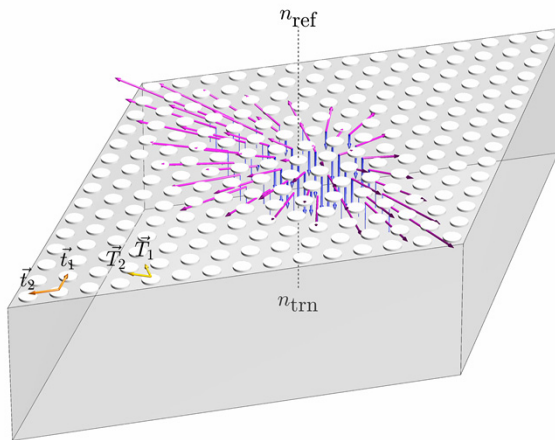
The normal components of the diffraction orders are calculated from the dispersion relation. For the reflected waves, this is

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The square-root can become imaginary

Imaginary  $\vec{k}_{n,\text{ref}}$  indicates diffraction orders that are cutoff and evanescent.

## Normal Components of Transmitted Waves



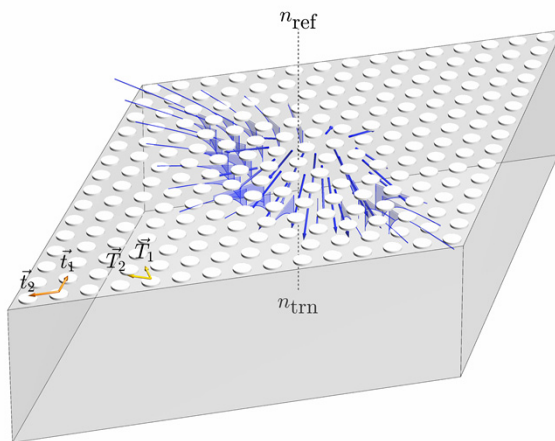
The normal components of the diffraction orders are calculated from the dispersion relation. For the transmitted waves, this is

$$\vec{k}_{n, \text{trn}}(p, q) = \hat{a}_n \sqrt{(k_0 n_{\text{trn}})^2 - |\vec{k}_t(p, q)|^2}$$

The square-root can become imaginary

Imaginary  $\vec{k}_{n, \text{trn}}$  indicates diffraction orders that are cutoff and evanescent.

## Overall Transmitted Diffraction Orders



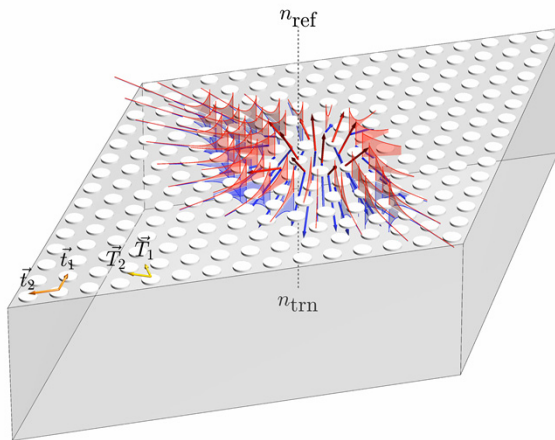
The normal components of the diffraction orders are calculated from the dispersion relation. For the transmitted waves, this is

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The square-root can become imaginary

Imaginary  $\vec{k}_{n, \text{trn}}$  indicates diffraction orders that are cutoff and evanescent.

## Comparison of Reflected & Transmitted



Assuming  $n_{\text{trn}} > n_{\text{ref}}$ , there will be more transmitted waves and they will have smaller angle.

## Summary of Calculating Direction of Diffraction Orders

Step 1 – Calculate lattice vectors

$$\vec{t}_1 = ? \quad \vec{t}_2 = ?$$

$$\vec{T}_1 = \frac{2\pi}{t_{1,x}t_{2,y} - t_{2,x}t_{1,y}} \begin{bmatrix} t_{2,y} \\ -t_{2,x} \end{bmatrix} \quad \vec{T}_2 = \frac{2\pi}{t_{1,x}t_{2,y} - t_{2,x}t_{1,y}} \begin{bmatrix} -t_{1,y} \\ t_{1,x} \end{bmatrix}$$

Step 2 – Calculate incident wave vector

$$\vec{k}_{\text{inc}} = k_0 n_{\text{ref}} (\sin \theta \cos \phi \hat{a}_x + \sin \theta \sin \phi \hat{a}_y + \cos \theta \hat{a}_z)$$

Step 3 – Extract tangential component

$$\vec{k}_{\text{t,inc}} = k_0 n_{\text{ref}} (\sin \theta \cos \phi \hat{a}_x + \sin \theta \sin \phi \hat{a}_y)$$

Step 4 – Calculate tangential components of diffraction orders

$$\vec{k}_{\text{t}}(p, q) = \vec{k}_{\text{t,inc}} - p\vec{T}_1 - q\vec{T}_2$$

$$p = -\infty, \dots, -3, -2, -1, 0, +1, +2, +3, \dots, +\infty$$

$$q = -\infty, \dots, -3, -2, -1, 0, +1, +2, +3, \dots, +\infty$$

Step 5 – Calculate normal components of diffraction orders

$$\vec{k}_{\text{n,ref}}(p, q) = -\hat{a}_n \sqrt{(k_0 n_{\text{ref}})^2 - |\vec{k}_{\text{t}}(p, q)|^2}$$

$$\vec{k}_{\text{n,trn}}(p, q) = \hat{a}_n \sqrt{(k_0 n_{\text{trn}})^2 - |\vec{k}_{\text{t}}(p, q)|^2}$$